

For any enquiries, please contact Investor Service Centre, Chennai-

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## Account Statement (From 01-APR-2017 To 31-MAR-2019)

Folio No. : 8569901 / 50 Statement Date : 04-Dec-2021

<b>Vijay Kumar Gupta</b>  C-8 JUDGE COMPOUND RIVER BANK COLONY LUCKNOW - 226018 Uttar Pradesh , India  Email : <a href="mailto:vijay.jnp17@gmail.com">vijay.jnp17@gmail.com</a> Mobile No. : +919336650615	Mode of Holding : Single Tax Status : Individual
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	PAN/PEKRN	CKYC No.	KYC	Supplementary KYC	FATCA / CRS	UBO
1st Unit Holder	AIJPG5310N	Please provide	Verified	Complete	Complete	Not applicable
2nd Unit Holder	Not applicable	Not applicable	Not applicable	Not applicable	Not applicable	Not applicable
3rd Unit Holder	Not applicable	Not applicable	Not applicable	Not applicable	Not applicable	Not applicable
Guardian, If any	Not applicable	Not applicable	Not applicable	Not applicable	Not applicable	Not applicable
POA, If any	Not applicable	Not applicable	Not applicable	Not applicable	Not applicable	Not applicable

### Nominee Details

Sr. No.	Nominee Name	Date of Birth	Guardian Name (in case of minor)	Share (%)
1	Shalu Gupta	12-Sep-1987	Not Applicable	100

### Account Summary as on 31-MAR-2019

THANK YOU FOR INVESTING WITH HDFC MUTUAL FUND

Scheme	Maturity Date	Mode of Investment	Nav Date	NAV	NAV as on 31-Jan-2018 *	Units	Cost (INR)	Market Value (INR)
HDFC Mid-Cap Opportunities Fund-Gr.		Lump sum	29-Mar-2019	56.284	58.633	7,921.064	271,249.65	445,829.17
HDFC Mid-Cap Opportunities Fund-Gr.		Systematic Transactions	29-Mar-2019	56.284	58.633	5,335.108	164,000.00	300,281.22
		Total :				13,256.172	435,249.65	746,110.39
HDFC TaxSaver-Growth		Lump sum	29-Mar-2019	528.732	559.130	279.220	70,000.00	147,632.55
Grand Total :							505,249.65	893,742.94

\*This field is applicable only for Equity oriented Schemes

4007 / HDFC TaxSaver - Regular Plan - Growth \* - INF179K01BB8 UCC : MFHDFC0022

Date	Transaction Type	NAV	Amount in INR	Price in INR	Number of Units	Balance Units
	Opening Balance as on 01/04/2017					279.220
	Market Value of Balance Units at NAV of 528.732 on 29/03/2019 (INR) :		147,632.55			
	IDCW reinvested / paid (INR) :		0.00			
	Primary Bank Account : SB XXXX6675 / Punjab National Bank / Chowk / Lucknow / PUNB0030301					
	Advisor : ARN-12736 / Chandra Prakash Dwivedi					
	EUIN : E037406					

\*Due to change in fundamental attributes of the Scheme w.e.f. May 23, 2018, the type of the Scheme has been changed to an open-ended Equity Linked Savings Scheme with a statutory lock in of 3 years and tax benefit - Current Load Structure : Entry Load - Not Applicable. Exit Load - Nil. This scheme qualifies for deduction u/s 80C of the Income-Tax Act, 1961 (the "Act"), subject to the limits and conditions specified in Sec.80C read with Sec.80CCE of the Act. "Only those units which have completed the lock-in period would be reflected as available units to redeem". Effective February 06, 2015, dividend(s) declared, if any, under Dividend Option of the Scheme will be compulsorily paid out vide addendum date January 29, 2015". For further details visit [www.hdfcfund.com](http://www.hdfcfund.com) (under Investor Corner) or contact any of our Investor Service Centre. GST Identification Number : 27AAATH1809A1Z9.

continued...

## Account Statement (Folio No. : 8569901 / 50)

4037 / HDFC Infrastructure Fund - Regular Plan - Growth\* - INF179K01GF8 UCC : MFHDFC0104

Date	Transaction Type	NAV	Amount in INR	Price in INR	Number of Units	Balance Units
Opening Balance as on 01/04/2017						0.000
Market Value of Balance Units at NAV of 17.387 on 29/03/2019 (INR) : 0.00						0.000
IDCW reinvested / paid (INR) : 0.00						0.00
Primary Bank Account : SB XXXX6675 / Punjab National Bank / Chowk / Lucknow / PUNB0030301						Electronic / Cheque
Advisor : ARN-12736 / Chandra Prakash Dwivedi						
EUIN : E037406						

*\*Due to change in fundamental attributes of the Scheme w.e.f. May 23, 2018, the type of the Scheme has been changed to an Open-ended Equity Scheme following infrastructure theme- Current Load Structure : Entry Load - Nil. Exit Load : 1% if units are redeemed/switched-out within 1 year; No Exit Load if units are redeemed /switched-out after 1 year from the date of investment with effect from May 08, 2015. The rate of exit load levied on units redeemed will be the rate prevailing at the time of allotment of the units. For further details visit [www.hdfcfund.com](http://www.hdfcfund.com) (under Investor Corner) or contact any of our Investor Service Centre. GST Identification Number : 27AAATH1809A1Z9*

Details regarding your primary Bank account and Email Id maybe masked to ensure security of information.  
 (#)switch-in will be processed based on the settlement cycle of the switch-out scheme.  
 This is a computer generated statement and does not require any signature.

4034 / HDFC Mid-Cap Opportunities Fund - Regular Plan - Growth\* - INF179K01CR2 UCC : MFHDFC0078

Date	Transaction Type	NAV	Amount in INR	Price in INR	Number of Units	Balance Units
Opening Balance as on 25/04/2017						12,372.297
25/04/2017	SIP Purchase - Instalment 59/121	51.498	2,000.00	51.498	38.836	12,411.133
25/05/2017	SIP Purchase - Instalment 60/121	50.414	2,000.00	50.414	39.672	12,450.805
27/06/2017	SIP Purchase - Instalment 61/121	50.951	2,000.00	50.951	39.253	12,490.058
25/07/2017	SIP Purchase - Instalment 62/121	53.747	2,000.00	53.747	37.211	12,527.269
28/08/2017	SIP Purchase - Instalment 63/121	52.902	2,000.00	52.902	37.806	12,565.075
25/09/2017	SIP Purchase - Instalment 64/121	53.045	2,000.00	53.045	37.704	12,602.779
25/10/2017	SIP Purchase - Instalment 65/121	55.332	2,000.00	55.332	36.145	12,638.924
27/11/2017	SIP Purchase - Instalment 66/121	57.945	2,000.00	57.945	34.515	12,673.439
26/12/2017	SIP Purchase - Instalment 67/121	60.105	2,000.00	60.105	33.275	12,706.714
25/01/2018	SIP Purchase - Instalment 68/121	60.184	2,000.00	60.184	33.231	12,739.945
26/02/2018	SIP Purchase - Instalment 69/121	57.169	2,000.00	57.169	34.984	12,774.929
26/03/2018	SIP Purchase - Instalment 70/121	55.252	2,000.00	55.252	36.198	12,811.127
25/04/2018	SIP Purchase - Instalment 71/121	59.112	2,000.00	59.112	33.834	12,844.961
25/05/2018	SIP Purchase - Instalment 72/121	56.699	2,000.00	56.699	35.274	12,880.235
25/06/2018	SIP Purchase - Instalment 73/121	55.819	2,000.00	55.819	35.830	12,916.065
25/07/2018	SIP Purchase - Instalment 74/121	56.383	2,000.00	56.383	35.472	12,951.537
27/08/2018	SIP Purchase - Instalment 75/121	58.681	2,000.00	58.681	34.083	12,985.620
25/09/2018	SIP Purchase - Instalment 76/121	53.480	2,000.00	53.480	37.397	13,023.017
25/10/2018	SIP Purchase - Instalment 77/121	49.399	2,000.00	49.399	40.487	13,063.504
26/11/2018	SIP Purchase - Instalment 78/121	51.424	2,000.00	51.424	38.892	13,102.396
26/12/2018	SIP Purchase - Instalment 79/121	52.388	2,000.00	52.388	38.177	13,140.573
25/01/2019	SIP Purchase - Instalment 80/121	51.237	2,000.00	51.237	39.034	13,179.607
25/02/2019	SIP Purchase - Instalment 81/121	50.511	2,000.00	50.511	39.595	13,219.202
25/03/2019	SIP Purchase - Instalment 82/121	54.098	2,000.00	54.098	36.970	13,256.172
Market Value of Balance Units at NAV of 56.284 on 29/03/2019 (INR) : 746,110.38						0.000
IDCW reinvested / paid (INR) : 0.00						0.00
Primary Bank Account : SB XXXX6675 / Punjab National Bank / Chowk / Lucknow / PUNB0030301						Electronic / Cheque
Advisor : ARN-12736 / Chandra Prakash Dwivedi						

*\*Due to change in fundamental attributes of the Scheme w.e.f. May 23, 2018, the type of the Scheme has been changed to an Open-ended Equity Scheme predominantly investing in mid cap stocks w.e.f. 25.06.10 - Current Load Structure : Entry Load: Not Applicable. Exit Load: Redemption/Switch-out within 1 Year of allotment - 1%. The rate of exit load levied on units redeemed will be the rate prevailing at the time of allotment of the units. For further details visit [www.hdfcfund.com](http://www.hdfcfund.com) (under Investor Corner) or contact any of our Investor Service Centre. GST Identification Number : 27AAATH1809A1Z9*

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TRANSACTION REQUEST FOR PURCHASE / SWITCH / REDEMPTION

TIMESTAMP (FOR OFFICE USE ONLY)

(Please use separate transaction slip for each scheme. For updating your contact details, please submit a separate request)

Folio Number : 8569901 / 50 Scheme / Plan / Option\* : \_\_\_\_\_  
 Name of sole / (Switch-out scheme) : \_\_\_\_\_  
 First Unit Holder : Vijay Kumar Gupta for switch request : \_\_\_\_\_

\*Default Plan / Option in terms of KIM will apply if the choice of Plan / Option is not indicated.  
 KEY PARTNER / AGENT INFORMATION

ARN	ARN Name	Sub Agent's ARN	Bank Branch Code	Internal Code for Sub-Agent/ Employee	Employee Unique Identification Number (EUIIN)
INA200005166	AREVUK ADVISORY SERVICES PRIVATE LIMITED				

Note: Upfront commission shall be paid directly by the investor to the ARN Holder (AMFI registered Distributor) based on the investors' assessment of various factors including the service rendered by the ARN Holder.  
 Important: Please strike off any unused portion of this sheet.

ADDITIONAL PURCHASE (Please write your folio number and name on the reverse of the cheque/DD/Payment Instrument no.)

Payment Mode: (Please tick any one only)  OTM (One Time Bank Mandate)  Cheque  DD  Funds Transfer  RTGS / NEFT

Cheque/DD/Instrument No: \_\_\_\_\_ IF APPLICABLE Payment Date/Instrument Date : \_\_\_/\_\_\_/\_\_\_ Amount (Rs.) : \_\_\_\_\_

Bank Name: \_\_\_\_\_ Branch: \_\_\_\_\_ City : \_\_\_\_\_

Investors desiring to get allotment of units in dematerialized mode instead of physical mode should provide the details in their demat account below:

NSDL	CDSL
DP Name _____	_____
DP ID _____	_____
Beneficiary Account No. _____	_____

Please attach a copy of the DP statement / Client Master Form to enable us to verify the demat account details.  
 IMPORTANT : Names, mode of holding, PAN details, etc. of the Investor will be verified against the Depository data. The units will be credited to the beneficiary (demat) account only after successful verification with the depository records and realization of payment.

SWITCH REQUEST (Please tick any one only)  All Free Units  No. of units : \_\_\_\_\_  Amount (in Rs.) : \_\_\_\_\_

To Scheme / Plan / Option ^ : \_\_\_\_\_

^Default Plan / Option in terms of KIM will apply if the choice of Plan / Option is not indicated.

REDEMPTION - Subject to Lock-in Period, if any (Please tick only one of the below options.)

Redeem  All Free Units  No. of units : \_\_\_\_\_  Amount (in Rs.) \_\_\_\_\_ (in words) \_\_\_\_\_

IFSC Code : \_\_\_\_\_ (If not provided earlier for electronic payment)

Note : If a redemption request is submitted alongwith a request for changing the bank account details, the redemption will be processed into the registered/default bank account in the aforesaid scheme/folio and the request for changing the bank account details will not be processed.

For investors who have registered for Multiple Bank Accounts facility# in the above folio:

The redemption should be processed into the following bank account as per the payout mechanism indicated by me/us (This bank account has already been registered in the folio):

Name of the Bank : \_\_\_\_\_ Branch : \_\_\_\_\_

Account No.: \_\_\_\_\_ Account Type: \_\_\_\_\_ Bank City : \_\_\_\_\_

#Important Note: If the bank account mentioned above is different from those already registered in your folio OR if the bank account details are not filled above, the redemption will be processed into the "Default" bank account registered for the aforesaid folio. HDFC Mutual Fund or HDFC Asset Management Company Ltd. will not be liable for any loss arising to the unitholder(s) due to the credit of redemption proceeds into any of the bank accounts registered with us for the aforesaid folio. If the balance in my/our account does not cover the amount / units of the redemption request, I/we authorise you to send the entire such (lesser) balance to me/us.

DECLARATION : I/We am/are not prohibited from accessing capital markets under any order/ruling/judgment etc., of any regulation, including SEBI. I/We confirm that my application is in compliance with applicable Indian and foreign laws. I / We hereby confirm and declare as under:-

- I/We have read, understood and hereby agree to comply with the terms and conditions of the scheme related documents and apply for allotment of Units of the Scheme(s) of HDFC Mutual Fund (Fund) indicated above.
- I/We am/are eligible Investor(s) as per the scheme related documents and am/are authorised to make this investment as per the Constitutive documents/ authorization(s). The amount invested in the Scheme(s) is through legitimate sources only and is not for the purpose of contravention and/or evasion of any act, rules, regulations, notifications or directions issued by any regulatory authority in India.
- The information given in / with this application form is true and correct and further agree to furnish such other further/additional information as may be required by the HDFC Asset Management Company Limited (AMC)/ Fund and undertake to inform the AMC / Fund/Registrars and Transfer Agent (RTA) in writing about any change in the information furnished from time to time.
- That in the event, the above information and/or any part of it is/are found to be false/ untrue/ misleading, I/We will be liable for the consequences arising therefrom.
- I/We hereby authorize you to disclose, share, remit in any form/manner/mode the above information and/or any part of it including the changes/updates that may be provided by me/us to the Mutual Fund, its Sponsor/s, Trustees, Asset Management Company, its employees, agents and third party service providers, SEBI registered intermediaries for single updation/ submission, any Indian or foreign statutory, regulatory, judicial, quasi-judicial authorities/agencies including but not limited to Financial Intelligence Unit-India (FIU-IND) etc without any intimation/advice to me/us.
- I/We will indemnify the Fund, AMC, Trustee, RTA and other intermediaries in case of any dispute regarding the eligibility, validity and authorization of my/our transactions.
- The ARN holder (AMFI registered Distributor) has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him/them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

I/WE HEREBY CONFIRM THAT I/WE HAVE NOT BEEN OFFERED/ COMMUNICATED ANY INDICATIVE PORTFOLIO AND/ OR ANY INDICATIVE YIELD BY THE FUND/AMC/ITS DISTRIBUTOR FOR THIS INVESTMENT.

Applicable to Foreign Nationals Resident in India only: I/We will redeem my/our entire investment/s before I/We change my/our Indian residency status. I/We shall be fully liable for all consequences (including taxation) arising out of the failure to redeem on account of change in residential status.

Applicable to NRIs/PIO/OCIs only: I/We confirm that my application is in compliance with applicable Indian and foreign laws.

Please (✓)  Yes  No If Yes, (✓)  Repatriation basis  Non-repatriation basis

Declaration for Purchase/Switch transaction where EUIIN box is left blank

I/We hereby confirm that the EUIIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

▲ TO BE SIGNED BY ALL UNIT HOLDERS IF MODE OF HOLDING IS JOINT. ALTERATIONS, IF ANY, SHOULD BE COUNTERSIGNED.

Signature(s) \_\_\_\_\_ Sole/ First Unit holder/ Guardian/ POA      \_\_\_\_\_ Second Unit holder      \_\_\_\_\_ Third Unit holder

Important alert: Incase there is any change to your KYC information please update the same by using the prescribed "KYC Change Request Form" and submit the same at the point of sevice of any KYC Registration Agency

FATCA & CRS - SELF CERTIFICATION FOR INDIVIDUALS

(To be filled and signed by folio(s) with Single holders only. In case of folio(s) with joint holders/Entities, separate SUPPLEMENTARY KNOW YOUR CLIENT (KYC), FATCA & CRS-SELF CERTIFICATION FORM needs to be submitted)

PAN: \_\_\_\_\_ Name: \_\_\_\_\_

Is the applicant/guardian's Country of Birth/Citizenship/Nationality/Tax Residency other than India?  Yes  No

If yes, then please submit a Supplementary Know Your Client (KYC), FATCA and CRS - Self certification form attached along-with this transaction.

Declaration: I have read and understood the information requirements and the Terms and Conditions mentioned in the SUPPLEMENTARY KNOW YOUR CLIENT (KYC), FATCA & CRS-SELF CERTIFICATION FORM and hereby confirm that the information provided by me/us on this Form is true, correct and complete. I hereby agree and confirm to inform HDFC Asset Management Company Limited/HDFC Mutual Fund/Trustees for any modification to this information promptly. I further agree to abide by the provisions of the Scheme related documents inter alia provisions on 'Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standards (CRS) on Automatic Exchange of Information (AEOI)'. I hereby authorize you to disclose, share, remit in any form/manner/mode the above information and/or any part of it including the changes/updates that may be provided by me to the Mutual Fund, its Sponsor/s, Trustees, Asset Management Company, its employees, agents and third party service providers, SEBI registered intermediaries for single updation/submission, any Indian or foreign statutory, regulatory, judicial, quasi-judicial authorities/agencies including but not limited to Financial Intelligence Unit-India (FIU-IND) etc without any intimation/advice to me.

Sign of Sole Holder \_\_\_\_\_

Please check exit load, if applicable. Information available at - our offices www.hdfcfund.com with your distributor, if any

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